

P20468.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P20468	Total Pages	
Inventor(s) or Application Identifier			
Tuyoshi ISHIKAWA			

Title: PATTERN READING APPARATUS

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

U.S. PTO  
J1002 09/1820840  
03/30/01

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 61] (preferred arrangement set forth below)            - Descriptive title of the Invention            - Cross References to Related Applications            - Statement Regarding Fed sponsored R &amp; D            - Reference to sequence listing, a table, or a computer program listing appendix            - Background of the Invention            - Brief Summary of the Invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p> <p><input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 31]</p> <p><input checked="" type="checkbox"/> Oath or Declaration [Total Pages 7]            a. <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted            b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))            (for continuation/divisional with Box 20 completed)            [Note Box 6 below]            i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S)            Signed statement attached deleting inventor(s)            named in the prior application, see 37 CFR            1.63(d)(2) and 1.33(b).</p> <p><input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked)            The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p><input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)            a. <input type="checkbox"/> Computer Readable Form (CRF)            b. Specification Sequence Listing on:            i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or            ii. <input type="checkbox"/> paper            c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published 10A</p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed            a. <input checked="" type="checkbox"/> Claim of Priority            b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA <u>of Tokyo, JAPAN</u></p> <p>19. <input type="checkbox"/> Other: _____ _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. 08/916,408, filed August 22, 1997.  <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence:            This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.</p>	

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
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3/30/01

Date



Signature

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

## FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	T. ISHIKAWA
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$790.00)

Attorney Docket Number P20468

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM &amp; BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future application requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

 Payment Enclosed: Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 12/29/99)

## FILING FEE

Fee	Entity	Small	Entity	Fee Description	Fee Paid
(%)	Fee	Fee	Fee		
710	201	355		Utility filing fee	710
320	206	160		Design filing fee	
490	207	245		Plant filing fee	
710	208	355		Reissue filing fee	
150	214	75		Provisional filing fee	

SUBTOTAL (1) (\$ 710)

## CLAIMS

Extra	Fee from below	Fee Paid
15 -20=	0 x 18 =	0
4 -3=	1 x 80 =	80
Multiple Dependent Claims	x =	0

Fee	Entity	Small	Entity	Fee Description
(%)	Fee	Fee	Fee	
3	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 80)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 0.00)

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

*Karen J. Oganian Reg. No.*

Date 3/10/01

Deposit Account User ID

33329

P20468.A03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : T. ISHIKAWA

Group Art Unit:

Appl. No. : Not Yet Assigned (Divisional of  
U.S. Patent Application No. 08/916,408)

Examiner:

Filed : Concurrently Herewith

For : PATTERN READING APPARATUS

**REQUEST FOR DELETION OF INVENTORS  
PURSUANT TO 37 C.F.R. § 1.53(d)(4)**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.53(d)(4), Applicants hereby request deletion of the following inventors named in parent Application Serial No. 08/916,408 filed August 22, 1997:

Kenichiro OTSUKA

Hiroaki ANDO

Deletion of these inventors is deemed proper under the guidelines set forth in 37 C.F.R. § 1.53(d)(4) because it has been determined that these individuals are not inventors of the invention now being claimed in the present application.

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Therefore, the inventor of the presently claimed invention is as follows:

Tuyoshi ISHIKAWA

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
T. ISHIKAWA

*Leslie J. Bernstein Reg. No. 33,329*  
Bruce H. Bernstein  
Reg. No. 29,027

March 28, 2001  
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